

422 Rec'd PCT/PTO 22 MAY 2000.

FORM PTO-1390 REV. 5-93		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NUMBER <b>P00,0579</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>			U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/555032</b>
INTERNATIONAL APPLICATION NO. <b>PCT/DE98/03366</b>	INTERNATIONAL FILING DATE <b>16 November 1998</b>	PRIORITY DATE CLAIMED <b>21 November 1997</b>	
TITLE OF INVENTION <b>"METHOD AND DEVICE FOR VOICE RECOGNITION"</b>			
APPLICANT(S) FOR DO/EO/US <b>Alfred HAUENSTEIN</b>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ul style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.</li><li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2))<ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li></ul></li><li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))<ul style="list-style-type: none"><li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li></ul></li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ul>			
Items 11. to 16. below concern other document(s) or information included:			
<ul style="list-style-type: none"><li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (SEE ATTACHED ENVELOPE)</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.<ul style="list-style-type: none"><li><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li></ul></li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:<ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Submittal of Drawings</li><li>b. <input checked="" type="checkbox"/> EXPRESS MAIL #EL482398463 US, dated May 22, 2000.</li></ul></li></ul>			

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

09/1555032

INTERNATIONAL APPLICATION NO.

PCT/DE98/03366

ATTORNEY'S DOCKET NUMBER

0.0579

17. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) .. \$700.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$770.00

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$1040.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate		
Total Claims	11 - 20 =		X \$ 18.00	\$	
Independent Claims	2 - 3 =		X \$ 78.00	\$	
Multiple Dependent Claims			\$260.00 +	\$	

**TOTAL OF ABOVE CALCULATIONS =**

\$ 840.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

**SUBTOTAL =**

\$ 840.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 840.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

**TOTAL FEES ENCLOSED =**

\$ 840.00

Amount to be refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-2290. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Hill & Simpson  
A Professional Corporation  
85th Floor Sears Tower  
Chicago, Illinois 60606

SIGNATURE

Melvin A. Robinson

NAME

31,870

Registration Number

09/555032  
412 Rec'd PCT/PTO 2 MAY 2000

CERTIFICATE OF MAILING

"Express Mail" Mailing Label Number **EL 482398463 US**

Date of Deposit: May 22, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

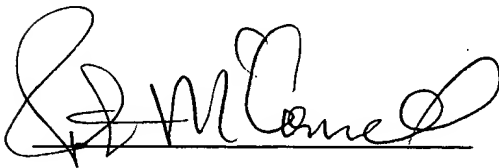
BOX PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Case Number: P00,0579

Alfred HAUENSTEIN

"METHOD AND DEVICE FOR VOICE RECOGNITION"

Signature of person mailing documents and fee

A handwritten signature in dark ink, appearing to read "A. Hauenstein", written over a horizontal line.

- 1 -

IN THE UNITED STATES ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

**"SUBMITTAL OF DRAWINGS"**

5      APPLICANT:                      Alfred Hauenstein

SERIAL NO.:                              EXAMINER:

FILING DATE:                          ART UNIT:

INTERNATIONAL APPLICATION NO.: PCT/DE98/03366

INTERNATIONAL FILING DATE:          16 November 1998

10      INVENTION:                      Method and Device for Voice Recognition

Hon. Assistant Commissioner for Patents  
Box PCT  
Washington D.C. 20231

SIR:

15                      Enclosed are copies of the four sheets of drawings as filed in the present  
PCT application. Also enclosed is a second set of drawings marked with the  
English translation of the German text.

Respectfully submitted,



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ATTORNEY FOR APPLICANT